

Sector #

PATENT

#3

Docket No.: LB-1506

Applicant or Patentee: XIAO-DONG XIANG ET AL.  
Serial or Patent No.: 09/608,311  
Filed or Issued: JUNE 30, 2000  
For: ANALYTICAL SCANNING EVANESCENT MICROWAVE MICROSCOPE AND  
CONTROL STAGE  
Group:  
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

11/30/00

John P. O'Banion, Reg. No. 33,201

11/30/00

Date of Signature

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed August 31, 2000, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Declaration for Patent Application;
2. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(d) - Non-Profit Organization;
3. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
4. Assignee Certification Under 37 C.F.R. 3.73(b);

5. Check in the amount of \$ 420 (\$ 355 filing fees and \$65 surcharge for the fees due as a small entity);
6. Petition for Extension of Time;
7. Check for \$55 (one-month small entity extension fee);
8. Assignment Cover Letter;
9. Assignment;
10. Check in the amount of \$40 (assignment recording fee).

**TOTAL FEES DUE**

**\$ 515.00**


Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

**PLEASE NOTE THAT A POWER OF ATTORNEY BY THE ASSIGNEE IS ENCLOSED,  
REVOKING THE POWER OF ATTORNEY BY THE INVENTORS. ALL CORRESPONDENCE SHOULD  
BE SENT TO THE UNDERSIGNED ATTORNEY OF RECORD APPOINTED BY THE ASSIGNEE.**

Dated: 11/30/00.

Respectfully submitted,



John P. O'Banion, Reg. No. 33,201  
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400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/608,311	06/30/2000	Xiang	6050/54207

Coudert Brothers  
4 Embarcadero Center  
Suite 3300  
San Francisco, CA 94111

# FORMALITIES LETTER



\*OC000000005367846\*

Date Mailed: 08/31/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

RECEIVED

SEP - 8 2000

COUDERT BROS  
SAN FRANCISCO

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PART 1 - ATTORNEY/APPLICANT COPY

01 FC:201  
02 FC:205

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65.00 OP

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